

# A.S.F. BOARD

Internal Audit Team

## PRESIDENT

### First Vice-President

First Vice-President's Office

Consumer Protection and Public Relations Unit

Preventing and Combating Money Laundering Unit

Financial Education Team

SAL-FIN Analysis and Technical Support Team

### General Secretariat

Financial Logistics Directorate

Financial Accounting Unit

Acquisitions, Logistics and Archive Unit

Information Technology and InfoSec Directorate

Software Solutions Development and Administration Unit

IT&C and InfoSec Administration Unit

Media Relations Department

Institute of Financial Studies

### Vice-President Financial Instruments and Investments

Vice-President's Office

Intermediaries and Market Institutions Directorate

Intermediaries and Market Institutions Regulation – Authorisation Unit

Unauthorized Activities Monitoring Team

Regulated Entities Control Unit

Issuer and UCIs Regulatory Unit

UCIs Authorization and Supervision Unit

UCIs Authorization Team

Issuers and Public Offers Unit

Transparency and Issuers Reporting Unit

Transaction Monitoring Unit

Data Quality Team

Issuer Inspections and Market Abuse Unit

Investor Compensation Fund

### VicePresident Insurance – Reinsurance

Vice-President's Office

Conduct Supervision Directorate

Conduct Supervision and Control Unit

Intermediaries (brokers/independent agents//MGA) Conduct control team

Insurers, Dependent Agents and MGU Conduct Control Team

Conduct Indicators Monitoring Team

Policyholders Protection and Complaints Resolution Unit

Complaints Resolution Team

MTPL Claims Settlement Process Control Team

Relations with Dispute Resolution Entities Team

Market Conduct Supervision Unit - Products and Other Entities

Product Analysis – Non-Life Insurance Team

Product Analysis – Life and Health Insurance Team

FGA, BAAR and other Entities Conduct Supervision Team

Policies & Regulations Unit

Prudential Supervision Policies and Regulation Team

Conduct Supervision Policies and Regulations Team

Internal Regulations Team

Authorizations, Endorsements and Certifications Unit

Intermediaries Authorization Team

Insurers Authorization Team

Endorsements and Certifications Team

Governance On-going Monitoring Team

Prudential Supervision and Control Unit

Prudential Supervision - Insurers Outside Colleges Team

Prudential Supervision Insurers within Colleges Team

Prudential Control |Team

FoS and FoE Intermediaries and Insurers Monitoring and Supervision Team

Actuarial Analysis and Reporting Unit

Actuarial and Internal Models Team

Market Risk Analysis Team

Data Administration and Analysis Team

Data Management Systems and Reporting Team

Romanian Motor Insurers' Bureau

Policholders Guarantee Fund

### Vice-President Private Pension System

Vice-President's Office

Regulation-Authorization Directorate

Regulatory Unit

Authorization-Endorsement Unit

Supervision and Control Directorate

Supervision and Market Analysis Unit

Private Pensions Control Unit

Private Pensions System Rights Guarantee Fund

President's Office

Board Secretariat

Human Resources Management Unit

Occupational Health and Safety Team

A.S.F. Security Structure

Legal Directorate

Regulatory Unit

Endorsement Unit

Litigation, Summons and Debt Recovery Unit

Integrated Supervision Directorate

International Relations and OECD Policies Implementation Unit

Integrated Regulation and Supervision Unit

Financial Stability Unit

Resolution Team