**INFORMATION**

**regarding the processing of personal data**

The processing of your personal data is carried out during the process of appointing the members of the Board of Directors of the Investor Compensation Fund in accordance with the provisions of Law. 88/2021 on the Investor Compensation Fund as a legal basis for processing.

Personal data provided (first and last name, date and place of birth, citizenship, home address, personal identity number, series and number of the identity document, image, signature, telephone number, e-mail address, seniority in work / professional experience / occupations, education / training, data on criminal deeds / contraventions / professional sanctions, data on facts entered in the tax record, data on spouse, relatives or in-laws up to the second degree including with the members of the Board of Directors of the Investor Compensation Fund) are processed in the designation process, being accessible exclusively to the designated persons within the organizational structures of FSA involved in the designation process.

Your personal data are kept for the period necessary to fulfill the purposes for which they were collected but not more than 5 years, unless express legal provisions set other deadlines, taking into account the storage period for audit and for legal purposes imposed by the legal provisions applicable in the field, respectively the provisions regarding archiving.

The processing of your personal data is carried out in accordance with the legal provisions in force, we inform you that your personal data are processed in accordance with the provisions of the Regulation in compliance with the principles provided by art. 5 paragraph (1) of Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of individuals with regard to the processing of personal data and on the free movement of such data and repealing Directive 95/46 / EC (RGPD) according to which personal data are:

a) processed lawfully, fairly and transparently as regards the data subject ("lawfulness, fairness and transparency");

b) collected for determined, explicit and legitimate purposes and are not subsequently processed in a manner incompatible with these purposes; further processing for archiving purposes in public interest, for scientific or historical research or for statistical purposes is not considered incompatible with the original purposes, in accordance with Article 89 (1) ("Purpose limitations");

c) appropriate, relevant and limited to what is necessary in relation to the purposes for which they are processed ("minimization of data");

d) accurate and, if necessary, updated; all necessary measures must be taken to ensure that personal data which are inaccurate, having regard to the purposes for which they are processed, are deleted or rectified without delay ("accuracy");

e) kept in a form which permits identification of data subjects for a period not exceeding the period necessary to fulfill the purposes for which the data are processed; personal data may be stored for longer periods to the extent that they are processed exclusively for archiving purposes in the public interest, for scientific or historical research or for statistical purposes, in accordance with Article 89 (1), subject to the implementation of the appropriate technical and organizational measures provided for in this Regulation in order to guarantee the rights and freedoms of the data subject ("storage restrictions");

f) processed in a way that ensures adequate security of personal data, including protection against unauthorized or illegal processing and against accidental loss, destruction or damage, by taking appropriate technical or organizational measures ("integrity and confidentiality");”

According to the legal provisions in force in the field of personal data protection, you benefit from:

a) The **right to information** regarding the processing of personal data, which takes into account the right to receive detailed information on the situations and processing operations performed by the F.S.A.;

b) The **right of access** to data, according to which you have the right to obtain from the F.S.A. a confirmation that your personal data are processed exclusively for the purposes indicated, which are these data, the duration of the processing;

c) The **right to deletion** of data, according to which you have the right to request and obtain from the F.S.A., in justified cases, the deletion of personal data, except for those personal data for which there are express legal provisions establishing the need to retain them;

d) The **right to rectification** of data, according to which you have the right to request and obtain from the F.S.A. the rectification of any inaccurate data concerning you or their completion;

e) The **right to restrict processing**, according to which you have the right to request and obtain from the F.S.A the restriction of the processing of your data if you have challenged the accuracy of the restricted data that applies during the verification of data accuracy, in case of illegal processing, in case you need to establish, exercise or defend a right in court or if you exercise the right to object, under the conditions provided by the legislation in force;

f) The **right to withdraw your** **consent at any time**, for situations in which the processing of your personal data is carried out based on the consent expressed directly, expressly and unequivocally. The withdrawal of one’s consent operates only for situations subsequent to this manifestation of will, not having a retroactive nature;

g) The **right to file a complaint**, according to which, in case of dissatisfaction related to the processing of your personal data by the F.S.A. you can address a signed and dated complaint to the Personal Data Protection Officer within the F.S.A, to the F.S.A. registry office or at the e-mail address [dpo@asfromania.ro](mailto:dpo@asfromania.ro).

Also, in order to exercise your rights and, in situations where we process your data with your consent, in order to withdraw your consent or, to the extent that you need additional information or clarifications, please send them in writing to the attention of the Personal Data Protection Officer within FSA by one of the methods indicated above.

If the answer given to your request is not considered satisfactory, you can contact the National Supervisory Authority for Personal Data Processing, 28-30 G-ral Gheorghe Magheru Blvd., 1st District, zip code 010336, Bucharest, Romania, +40.318.059.211 / +40.318.059.212, anspdcp@dataprotection.ro, or you may refer the matter to the court.

**Date: Signature:**