

NOTIFICATION

FOR AN INTRAGROUP EXEMPTION FROM REPORTING OBLIGATION

pursuant to Article 9 (1) of EMIR

By submitting the present Notification form, the company: (Please fill the name of the entity)...,as a(parent/subsidiary)...of the group:(Please fill the name of the group)..... notify the **Financial Supervisory Authority of Romania**, as national competent authority, the intention to use the intragroup exemption from the reporting obligation pursuant to Article 9 (1) of Regulation EU no. 2012/648 (EMIR), as amended by Regulation EU no. 2019/834 (EMIR REFIT), for the following beneficiaries of the above exemption :(Please fill the name of the entities which will use the intragroup exemption from reporting obligation).....

According to the above mentioned regulations, the reporting obligation does not apply to intragroup transactions in which at least one of the counterparty is a non-financial counterparty, or would be qualified as a non-financial counterparty if it were established in the Union, provided that:

- 1) both counterparties are included in the same consolidation on a full basis;
- 2) both counterparties are subject to appropriate centralised risk evaluation, measurement and control procedures;
- 3) the parent undertaking is not a financial counterparty;

For the purpose of this Notification, please fill in the all four excel forms specified as Annex of the document.

The notification has to be submitted and signed by a person of appropriate seniority within the notifying entity. That person should have verified that all of the information provided in the Notification and underlying Annex is accurate and complete.

The present Notification form and the Annex templates should be submitted to office@asfromania.ro and emir@asfromania.ro.

Date:

Name:

Role:

Signature: