# Notification letter for the marketing of units or shares of EU AIFs in Member States other than the home Member State of the AIFM (Article 32 of the AIFMD)

**NOTIFICATION OF INTENTION TO MARKET UNITS OR SHARES OF AN AIF OR AIFs OF THE SAME AIFM IN A MEMBER STATE OTHER THAN THE HOME MEMBER STATE OF THE AIFM**

The information provided in this notification letter should not be ambiguous.

Are you notifying amendments to information already provided in an initial notification? Yes  [ ]  No  [ ]

Name of the AIFM: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Contact details at the AIFM (registered address of the AIFM):

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Member State in which the AIFM intends to market the units or shares of the AIF to professional investors: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Is the AIF regulated by the competent authorities in its home Member State? Yes  [ ]  No  [ ]

Does the AIF(s) have compartments? Yes  [ ]  No  [ ]

Name of the umbrella AIF (if applicable): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

| Name of the AIF or AIFs or compartment(s) to be marketed in the host Member State**[[1]](#footnote-1)** | AIF home Member State | Legal form[[2]](#footnote-2) | Type of AIF[[3]](#footnote-3) | Information on the depositary of the AIF[[4]](#footnote-4) | Name of the master AIF/or compartment(s) (if applicable) | Home Member State of the master AIF (if applicable) | Investment strategy of AIF (*predominant AIF type* and *breakdown of investment strategies*)[[5]](#footnote-5) |
| --- | --- | --- | --- | --- | --- | --- | --- |
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|  |  |  |  |  |  |  |  |

Information on the arrangements established to prevent units or shares of the AIF from being marketed to retail investors, including in the case where the AIFM relies on activities of independent entities to provide investment services in respect of the AIF:

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1. Where the AIF is an umbrella AIF with multiple compartments, please only indicate the name of the compartments. [↑](#footnote-ref-1)
2. Options are: common fund, unit trust, investment company, partnership, other. [↑](#footnote-ref-2)
3. Options are: open-ended, closed-ended. [↑](#footnote-ref-3)
4. Information on the depositary of the AIF should include the name and address of the depositary. [↑](#footnote-ref-4)
5. Please specify the *predominant AIF type* and the *breakdown of investment strategies* as set out in the AIF reporting template included in Annex IV of Commission Regulation (EU) No. 231/2013 [↑](#footnote-ref-5)